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# COMBINATORIAL PROPERTIES OF FINITE FULL TRANSFORMATION SEMIGROUPS(Algebraic Theory of Codes and Combinatorics on Words)

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# COMBINATORIAL PROPERTIES OF FINITE FULL TRANSFORMATION SEMIGROUPS

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(斎藤 立彦)

Let  $X$  be the finite set  $\{1, 2, \dots, n\}$  and let  $T(X)$  be the semigroup (under composition of mappings from  $X$  into  $X$ ). The symmetric group  $G(X)$ , consisting of all permutations of  $X$ , is a subgroup of  $T(X)$ , while the set  $S_n = T(X) \setminus G(X)$  of all singular mappings from  $X$  into  $X$  is a subsemigroup of  $T(X)$ . We denote the *image* of  $\alpha$  of  $S_n$  by  $\text{im}\alpha$ , i. e.,  $\text{im}\alpha = \{x\alpha \mid x \in X\}$ , and define the *rank* of  $\alpha$  to be  $\text{rank}\alpha = |\text{im}\alpha|$ . Let  $E$  be the set of idempotents of  $S_n$ . In [1], it has shown that  $S_n$  is generated by the  $n(n-1)$  idempotents of rank  $n-1$ . Then there arise the following two problems :

Problem 1. Find the least integer  $k$  for which  $E^k = S$ .

Problem 2. For each  $\alpha \in S_n$ , find the least integer  $k(\alpha)$  for which  $\alpha \in E^{k(\alpha)}$ .

Let  $E_1$  be the set of idempotents of rank  $n-1$  in  $S_n$ . Iwahori [3] and Howie [2] found the least integer  $l(\alpha)$  for which  $\alpha \in E_1^{l(\alpha)}$ . By using this result, Howie [2] solved Problem 1, that is  $k = \lceil 3(n-1)/2 \rceil$ .

In this survey, we discuss on Problem 2. The proofs of the results here are not given. But to make the results understandable, we will give examples.

Let  $\alpha \in S_n$ . We define  $\text{fix}\alpha = \{x \in X \mid x\alpha = x\}$ , and an *orbit* of  $\alpha$  to be an equivalence class under the equivalence  $\omega = \{(x, y) \in X \times X \mid x\alpha^l = y\alpha^m \text{ for some } l, m \geq 0\}$ . Then each orbit  $\Omega$  of  $\alpha$  has a kernel  $K(\Omega)$  characterised by the property (for each  $x$  in  $\Omega$ )  $x \in K(\Omega)$  if and only if  $x \in x\alpha^N$  where  $x\alpha^N = \{y \in X \mid y\alpha^i = x \text{ for some } i \geq 1\}$ . Then orbits classified into the following four types :

*standard orbit* :  $|\Omega| > |K(\Omega)| > 1$

*acyclic orbit* :  $|\Omega| > |K(\Omega)| = 1$

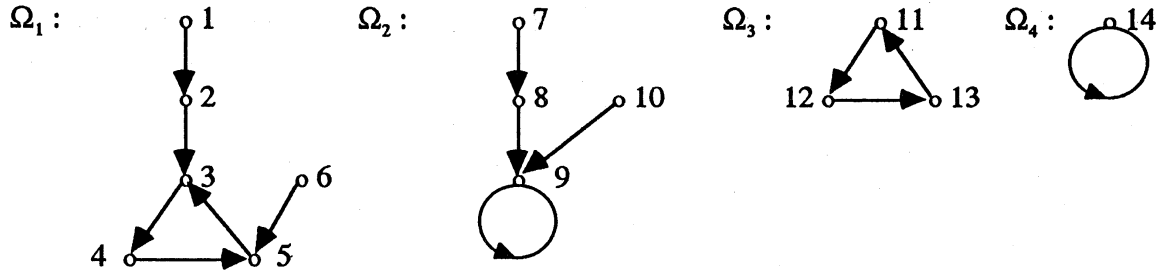
*cyclic orbit* :  $|\Omega| = |K(\Omega)| > 1$

*singleton orbit* :  $|\Omega| = |K(\Omega)| = 1$ .

Example 1. Let  $n = 14$  and let

$$\alpha = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 \\ 2 & 3 & 4 & 5 & 3 & 5 & 8 & 9 & 9 & 9 & 12 & 13 & 11 & 14 \end{pmatrix}$$

The orbits of  $\alpha$  can be decided as follows :



Then  $|\Omega_1| = 6 > |K(\Omega_1)| = 3 > 1$ ,  $|\Omega_2| = 4 > |K(\Omega_2)| = 1$ ,  $|\Omega_3| = |K(\Omega_3)| = 3 > 1$ ,  $|\Omega_4| = |K(\Omega_4)| = 1$ , so that  $\Omega_1$  is standard,  $\Omega_2$  is acyclic,  $\Omega_3$  is cyclic and  $\Omega_4$  is singleton.

It is easy to see that  $\alpha \in S_n$  is an idempotent if and only if  $im\alpha = fix\alpha$ . Thus we have that, if  $\varepsilon$  is an idempotent of rank  $n-1$ , then there exist  $a$  and  $b$  in  $X$  such that  $a\varepsilon = b$  and  $x\varepsilon = x$  if  $a \neq b$ . We write  $\varepsilon = \begin{pmatrix} a & \\ & b \end{pmatrix}$ . For example,  $\begin{pmatrix} 1 & 2 & 3 & 4 \\ 1 & 4 & 3 & 4 \end{pmatrix} = \begin{pmatrix} 2 \\ 4 \end{pmatrix}$ .

Let  $\alpha \in S_n$ . Then the number of cyclic orbits of  $\alpha$  is denoted by  $c(\alpha)$ . We define the gravity of  $\alpha$  to be  $g(\alpha) = n - |fix\alpha| + c(\alpha)$ , and the defect of  $\alpha$  to be  $d(\alpha) = n - rank\alpha$ .

**THEOREM 1.** (Nobuko Iwahori [3] and J. M. Howie [2])

Let  $S_n$  be the semigroup of all singular mappings from  $X$  into  $X$  where  $X$  is the finite set  $\{1, 2, \dots, n\}$  and let  $E_1$  be the set of idempotents of defect 1 (rank  $n-1$ ) in  $S_n$ . For each  $\alpha \in S_n$  the least  $l(\alpha)$  for which  $\alpha \in E^{l(\alpha)}$  is  $g(\alpha)$ , where  $g(\alpha)$  is the gravity of  $\alpha$ .

We state the outline of the proof of Theorem 1 by using the  $\alpha$  in Example 1. In this case,  $|fix\alpha| = 2$  and  $c(\alpha) = 1$ , so that  $g(\alpha) = 14 - 2 + 1 = 13$ . For  $\Omega_1$ , take  $x \in \Omega_1$  such that  $x \notin K(\Omega_1)$  and  $x\alpha \in K(\Omega_1)$ , say  $x = 6$ , and take  $y \in K(\Omega_1)$  such that  $x\alpha = y\alpha$ , i. e.,  $x = 4$ . Then

$$\Omega_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 2 & 3 & 4 & 5 & 3 & 5 \end{pmatrix} = \begin{pmatrix} 4 \\ 6 \end{pmatrix} \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 5 \\ 3 \end{pmatrix} \begin{pmatrix} 6 \\ 5 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix}.$$

$$\text{For } \Omega_2, \Omega_2 = \begin{pmatrix} 7 & 8 & 9 & 10 \\ 8 & 9 & 9 & 9 \end{pmatrix} = \begin{pmatrix} 8 \\ 9 \end{pmatrix} \begin{pmatrix} 7 \\ 8 \end{pmatrix} \begin{pmatrix} 10 \\ 9 \end{pmatrix}.$$

For  $\Omega_3$ , take  $x \in X \setminus im\alpha$ , say  $x = 1$ . Then

$$\Omega_3 = \begin{pmatrix} 11 & 12 & 13 \\ 12 & 13 & 11 \end{pmatrix} = \begin{pmatrix} 11 \\ 1 \end{pmatrix} \begin{pmatrix} 13 \\ 11 \end{pmatrix} \begin{pmatrix} 12 \\ 13 \end{pmatrix} \begin{pmatrix} 1 \\ 12 \end{pmatrix}.$$

$$\text{We obtain } \alpha = \begin{pmatrix} 4 \\ 6 \end{pmatrix} \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 5 \\ 3 \end{pmatrix} \begin{pmatrix} 6 \\ 5 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \begin{pmatrix} 8 \\ 9 \end{pmatrix} \begin{pmatrix} 7 \\ 8 \end{pmatrix} \begin{pmatrix} 10 \\ 9 \end{pmatrix} \begin{pmatrix} 11 \\ 1 \end{pmatrix} \begin{pmatrix} 13 \\ 11 \end{pmatrix} \begin{pmatrix} 12 \\ 13 \end{pmatrix} \begin{pmatrix} 1 \\ 12 \end{pmatrix}.$$

Let  $a_1, \dots, a_k$  be distinct elements in  $X$ , and let  $b_1, \dots, b_k$  be elements (not necessarily distinct) in  $X$  such that  $\{a_1, \dots, a_k\} \cap \{b_1, \dots, b_k\} = \emptyset$ . Then the semigroup generated by the

idempotents  $\begin{pmatrix} a_1 \\ b_1 \end{pmatrix}, \dots, \begin{pmatrix} a_k \\ b_k \end{pmatrix}$  is a semilattice of order  $2^{k-1}$  in which the rank of each element

is greater than  $n - k - 1$ . We write  $\begin{pmatrix} a_1 \\ b_1 \end{pmatrix} \dots \begin{pmatrix} a_k \\ b_k \end{pmatrix} = \begin{pmatrix} a_1 \dots a_k \\ b_1 \dots a_k \end{pmatrix}$ .

Then  $\begin{pmatrix} a_1 \dots a_k \\ b_1 \dots a_k \end{pmatrix}$  is an idempotent of defect  $k$  (rank  $n - k$ ).

Conversely, an idempotent of defect  $k$  can be written in the above form.

For  $\alpha, \beta \in S_n$ , it is easy to see that  $\text{rank}(\alpha\beta) \leq \text{rank}\alpha$  and  $\text{rank}(\alpha\beta) \leq \text{rank}\beta$ , so that  $d(\alpha) \leq d(\alpha\beta)$  and  $d(\beta) \leq d(\alpha\beta)$ .

**LEMMA 1.** Let  $\alpha \in S_n$ . Then  $g(\alpha)/d(\alpha) \leq k(\alpha)$ , where  $k(\alpha)$  means that of Problem 2.

*Proof.* Let  $\alpha = \varepsilon_1 \varepsilon_2 \dots \varepsilon_{k(\alpha)}$ , where each  $\varepsilon_i$  ( $i = 1, 2, \dots, k(\alpha)$ ) is an idempotent with  $d(\varepsilon_i) \leq d(\alpha)$ . Let  $d(\varepsilon_i) = d_i$ . Since an idempotent of defect  $d_i$  is a product of  $d_i$  idempotents of defect 1,  $\alpha$  is a product of  $d_1 + \dots + d_{k(\alpha)}$  idempotents of defect 1. By Theorem 1,  $g(\alpha) \leq d_1 + \dots + d_{k(\alpha)} \leq d(\alpha)k(\alpha)$ . Thus  $g(\alpha)/d(\alpha) \leq k(\alpha)$ .

**LEMMA 2.** Let  $a, b, c \in X$ . Then

$$(1) \quad \begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} a \\ c \end{pmatrix} = \begin{pmatrix} a \\ b \end{pmatrix}, \text{ where } a \neq b, a \neq c.$$

$$(2) \quad \begin{pmatrix} a \\ b \end{pmatrix} \begin{pmatrix} b \\ c \end{pmatrix} = \begin{pmatrix} a & b \\ c & c \end{pmatrix} = \begin{pmatrix} b \\ a \end{pmatrix} \begin{pmatrix} a \\ c \end{pmatrix}, \text{ where } a \neq b, b \neq c, a \neq c.$$

We introduce a new notation to be more easily visible.

We write  $\begin{pmatrix} a \\ b \end{pmatrix} = (b \leftarrow a)$ ,  $\begin{pmatrix} b \\ a \end{pmatrix} \begin{pmatrix} c \\ b \end{pmatrix} = (a \leftarrow b)(b \leftarrow c) = (a \leftarrow b \leftarrow c)$

$$\text{and } \begin{pmatrix} a & c \\ b & d \end{pmatrix} = \begin{pmatrix} (b \leftarrow a) \\ (d \leftarrow c) \end{pmatrix}.$$

**LEMMA 3.** Let  $a_1, \dots, a_k, b_1, \dots, b_m$  be distinct elements in  $X$ , and let  $c \in X$  with  $c \neq a_k, c \neq a_{k-1}, c \neq b_m$ . Then

$$\begin{aligned} & (c \leftarrow a_k \leftarrow \dots \leftarrow a_i \leftarrow \dots \leftarrow a_1)(a_i \leftarrow b_m \leftarrow \dots \leftarrow b_1) \\ &= \begin{pmatrix} (c \leftarrow a_k \leftarrow \dots \leftarrow a_i \leftarrow \dots \leftarrow a_1) \\ (a_{i-1} \leftarrow b_m \leftarrow \dots \leftarrow b_1) \end{pmatrix}. \end{aligned}$$

We suggest a proof of Lemma 3 by using the following example.

$$\text{Example 2.} \quad (4 \leftarrow 3 \leftarrow 2 \leftarrow 1)(3 \leftarrow 5 \leftarrow 6) = \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \begin{pmatrix} 5 \\ 3 \end{pmatrix} \begin{pmatrix} 6 \\ 5 \end{pmatrix}$$

$$\begin{aligned}
&= \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 2 & 5 \\ 3 & 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \begin{pmatrix} 6 \\ 5 \end{pmatrix} = \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 5 \\ 2 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \begin{pmatrix} 6 \\ 5 \end{pmatrix} \\
&= \begin{pmatrix} (4 \leftarrow 3 \leftarrow 2 \leftarrow 1) \\ (2 \leftarrow 5 \leftarrow 6) \end{pmatrix}.
\end{aligned}$$

**Example 3.** Let  $\beta = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\ 2 & 3 & 4 & 5 & 3 & 5 & 8 & 9 & 9 & 9 \end{pmatrix}$ .

By the previous result of  $\alpha$  in Example 1, we have

$$\begin{aligned}
\beta &= \begin{pmatrix} 4 \\ 6 \end{pmatrix} \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 5 \\ 3 \end{pmatrix} \begin{pmatrix} 6 \\ 5 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \begin{pmatrix} 8 \\ 9 \end{pmatrix} \begin{pmatrix} 7 \\ 8 \end{pmatrix} \begin{pmatrix} 10 \\ 9 \end{pmatrix} \\
&= \begin{pmatrix} (6 \leftarrow 4 \leftarrow 3 \leftarrow 5 \leftarrow 6)(3 \leftarrow 2 \leftarrow 1) \\ (9 \leftarrow 8 \leftarrow 7) \\ (9 \leftarrow 10) \end{pmatrix} = \begin{pmatrix} (6 \leftarrow 4 \leftarrow 3 \leftarrow 5 \leftarrow 6) \\ (5 \leftarrow 2 \leftarrow 1) \\ (9 \leftarrow 8 \leftarrow 7) \\ (9 \leftarrow 10) \end{pmatrix} \\
&= \begin{pmatrix} 4 & 2 & 8 & 10 \\ 6 & 5 & 9 & 9 \end{pmatrix} \begin{pmatrix} 3 & 1 & 7 \\ 4 & 2 & 8 \end{pmatrix} \begin{pmatrix} 5 \\ 3 \end{pmatrix} \begin{pmatrix} 6 \\ 5 \end{pmatrix}.
\end{aligned}$$

Then we have that in the above expression of  $\beta$  the last member of each series (...  $\leftarrow$  ...) belongs to  $X \setminus \text{im}\beta$  and they are mutually distinct.

The  $\alpha$  of Example 1 can be expressed as follows :

$$\alpha = \begin{pmatrix} (6 \leftarrow 4 \leftarrow 7 \leftarrow 5 \leftarrow 6) \\ (5 \leftarrow 2 \leftarrow 1 \leftarrow 11 \leftarrow 13 \leftarrow 12 \leftarrow 1) \\ (9 \leftarrow 8 \leftarrow 7) \\ (9 \leftarrow 10) \end{pmatrix}$$

Then the number of series in the above expression of  $\alpha$  coincides with  $d(\alpha)$  and the number of all arrows coincides with  $g(\alpha)$ .

**LEMMA 4.** Let  $a_1, \dots, a_m$  ( $m \geq 3$ ) be distinct elements in  $X$  and let  $\begin{pmatrix} a_m & b \\ c & d \end{pmatrix}$  be an idempotent of defect 2. Then

$$\begin{pmatrix} (c \leftarrow a_m \leftarrow \dots \leftarrow a_i \leftarrow \dots \leftarrow a_1) \\ (d \leftarrow b) \end{pmatrix} = \begin{pmatrix} (c \leftarrow a_m \leftarrow \dots \leftarrow a_{i+1} \leftarrow b) \\ (d \leftarrow b \leftarrow a_i \leftarrow \dots \leftarrow a_1) \end{pmatrix}.$$

We also suggest a proof of Lemma 4 by using the following example.

$$\begin{aligned}
\text{Example 3. } \left( \begin{array}{c} (5 \leftarrow 4 \leftarrow 3 \leftarrow 2 \leftarrow 1) \\ (6 \leftarrow 7) \end{array} \right) &= \begin{pmatrix} 4 & 7 \\ 5 & 6 \end{pmatrix} \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \\
&= \begin{pmatrix} 4 & 7 \\ 5 & 6 \end{pmatrix} \begin{pmatrix} 7 \\ 2 \end{pmatrix} \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \quad (\text{by (1) of Lemma 2}) \\
&= \begin{pmatrix} 4 & 7 \\ 5 & 6 \end{pmatrix} \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 7 \\ 2 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \\
&= \begin{pmatrix} 4 & 7 \\ 5 & 6 \end{pmatrix} \begin{pmatrix} 3 \\ 4 \end{pmatrix} \begin{pmatrix} 2 \\ 7 \end{pmatrix} \begin{pmatrix} 7 \\ 3 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \end{pmatrix} \quad (\text{by (2) of Lemma 2}) \\
&= \begin{pmatrix} 4 & 7 \\ 5 & 6 \end{pmatrix} \begin{pmatrix} 3 & 2 \\ 4 & 7 \end{pmatrix} \begin{pmatrix} 7 & 1 \\ 3 & 2 \end{pmatrix} \\
&= \left( \begin{array}{c} (5 \leftarrow 4 \leftarrow 3 \leftarrow 7) \\ (6 \leftarrow 7 \leftarrow 2 \leftarrow 1) \end{array} \right).
\end{aligned}$$

The length of  $(a_m \leftarrow \dots \leftarrow a_1)$  is the number of arrows in it. Lemma 4 shows that the length of  $(c \leftarrow a_m \leftarrow \dots \leftarrow a_1)$  decreases by  $k$  and the length of  $(d \leftarrow b)$  increases by  $k + 1$ .

Let  $V_0 = \{v_1, v_2, \dots, v_d\}$  be a multi-set of positive integers ( $d \geq 2$ ), where  $v_1, \dots, v_d$  are not necessarily distinct. Let us subtract  $k$  from some  $v_i$  and add  $k + 1$  to some  $v_j$  where  $k$  is a integer. Let  $V_1 = \{v_1, \dots, v_i - k, \dots, v_j + k + 1, \dots, v_d\}$ . By repeating this procedure on  $V_1$ , we obtain a new multi-set  $V_2$ .

**LEMMA 5.** Let  $V_0 = \{v_1, v_2, \dots, v_d\}$  be a multi-set of positive integers ( $d \geq 2$ ) with  $v_1 + v_2 + \dots + v_d = g$ . By suitable repeating of the above procedure, there exists  $V_i$  such that  $\lceil g/d \rceil \leq \max V_i \leq \lceil g/d \rceil + 1$  and  $\max V_i = \lceil g/d \rceil$  if  $g \equiv 1 \pmod{d}$ , where  $\lceil x \rceil$  denotes the least integer  $m$  for which  $m \geq x$ .

**Example 5.** Let  $V_0 = \{1, 8, 26, 32, 54\}$ . Then  $V_1 = \{31, 8, 25, 32, 25\}$ ,  $V_2 = \{31, 16, 26, 25, 25\}$ ,  $V_3 = \{25, 23, 26, 25, 25\}$  and  $V_4 = \{25, 25, 25, 25, 25\}$ .

$$\text{Let } \alpha \text{ be as in Example 1. Then } \alpha = \left( \begin{array}{c} (6 \leftarrow 4 \leftarrow 3 \leftarrow 5 \leftarrow 6) \\ (5 \leftarrow 2 \leftarrow 1 \leftarrow 11 \leftarrow 13 \leftarrow 12 \leftarrow 1) \\ (9 \leftarrow 8 \leftarrow 7) \\ (9 \leftarrow 10) \end{array} \right).$$

Let  $V_0$  be the multi-set of the lengths of the series in the above expression of  $\alpha$ , i. e.,  $V_0 = \{4, 6, 2, 1\}$ . By applying Lemma 5 to the expression of  $\alpha$ , we have

$$\alpha = \left( \begin{array}{l} (6 \leftarrow 4 \leftarrow 3 \leftarrow 5 \leftarrow 6) \\ (5 \leftarrow 2 \leftarrow 1 \leftarrow 11 \leftarrow 10) \\ (9 \leftarrow 8 \leftarrow 7) \\ (9 \leftarrow 10 \leftarrow 13 \leftarrow 12 \leftarrow 1) \end{array} \right) = \left( \begin{array}{l} (4 \ 2 \ 8 \ 10) \\ (6 \ 5 \ 9 \ 9) \end{array} \right) \left( \begin{array}{l} (3 \ 1 \ 7 \ 13) \\ (4 \ 2 \ 8 \ 10) \end{array} \right) \left( \begin{array}{l} (5 \ 11 \ 12) \\ (3 \ 1 \ 13) \end{array} \right) \left( \begin{array}{l} (6 \ 10 \ 1) \\ (5 \ 11 \ 12) \end{array} \right).$$

In this case,  $V_1 = \{4, 4, 2, 4\}$  and  $\max V_1 = 4 = \lceil 13/4 \rceil = \lceil g(\alpha)/d(\alpha) \rceil$ . Thus we obtain :

**THEOREM 2.** Let  $S_n$  be the semigroup of all singular mappings from  $X$  into  $X$  where  $X = \{1, 2, \dots, n\}$ , and let  $E$  be the set of idempotents of  $S_n$ . For each  $\alpha \in S_n$ , let  $k(\alpha)$  be the unique positive integer for which  $\alpha \in E^{k(\alpha)}$ ,  $\alpha \notin E^{k(\alpha)-1}$ , and  $g(\alpha)$  the gravity of  $\alpha$  and  $d(\alpha)$  the defect of  $\alpha$ . Then  $k(\alpha) = \lceil g(\alpha)/d(\alpha) \rceil$  or  $\lceil g(\alpha)/d(\alpha) \rceil + 1$ , and equals to  $\lceil g(\alpha)/d(\alpha) \rceil$  if  $g(\alpha) \equiv 1 \pmod{d(\alpha)}$ , where  $\lceil x \rceil$  for any real number  $x$  denotes the least integer  $m$  for which  $m \geq x$ .

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